

COPY OF PAPERS **ORIGINALLY FILED**

PATENT Docket No. 5000-4841

ED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) :

Kazuo MURAKAMI, et al.

Group Art Unit:

3746

Serial No.

09/765,155

Examiner

TBA

Filed

Ī

January 17, 2001

For

MOTOR-DRIVEN COMPRESSOR COOLED BY REFRIGERANT GAS

Commissioner of Patents Washington, D.C. 20231

CERTIFICATE OF MAILING (37 C.F.R. 1.8(a))

I hereby certify that the following attached paper(s) and/or fee

Information Disclosure Statement (three pages in duplicate);
 Form PTO-1449;
 German Official Letter dated April 25, 2002, together with English translation of same;
 Copies of the three cited references;
 Return Postcard.

are being deposited with the United States Postal Service on the date indicated below with

sufficient postage as first-class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Respectfully Submitted,

Dated: <u>July 25, 2002</u>

Steven F. Meyer

Registration No. 35,613

CORRESPONDENCE ADDRESS:

MORGAN & FINNEGAN, L.L.P. 345 Park Avenue New York, New York 10154 (212) 758-4800; (212) 751-6849 Facsimile



COPY OF PAPERS ORIGINALLY FILED

Docket No. <u>5000-4841</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| Applicant | (s) : | Kazuo MURAKAMI, et al. | Group Art Unit: | 3746 | |
|--------------|--|---|---------------------------|----------------------------------|--|
| Serial No. | . : | 09/765,155 | Examiner : | TBA | |
| Filed | : | January 17, 2001 | | TE | |
| For | : | MOTOR-DRIVEN COMPRESS | SOR COOLED BY REF | RIGERANT GAS | |
| | | INFORMATION DISCLOSU | RE STATEMENT | 3 Xac 9 - 9i | |
| | SIONER on, D.C. 2 | OF PATENTS 20231 | | AUG -6 2002 OLGGY CENTER 3700 | |
| Sir: | | | | 00 | |
| Th | is Informa | ation Disclosure Statement is filed | in accordance with 37 C | C.F.R. §§1.56, 1.97 | |
| and 1.98. | The items | s listed on Form PTO-1449, a copy | of which is enclosed, as | re made of record | |
| to assist tl | ne Patent a | and Trademark Office in its examin | ation of this application | . The Examiner is | |
| respectful | ly requeste | ed to fully consider the items and to | o independently ascertai | n their teaching. | |
| 1. 🛛 | For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, an English language translation of that item or a portion thereof or a concise explanation of the relevance of that item is enclosed: | | | | |
| 2. | For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a concise explanation of the relevance of that item is incorporated in the specification of the above-identified application. | | | | |
| 3. | Any copy of the items listed on the enclosed copy of Form PTO-1449 that is not enclosed with this Information Disclosure Statement was previously cited by or submitted to the Patent and Trademark Office on in connection with the above identified application. | | | | |
| 4. 🛛 | | due under 37 C.F.R. §1.17(p) for t being filed in compliance with: | his Information Disclos | ure Statement | |
| | | 7 C.F.R. §1.97(b)(1), within three replication other than a CPA; or | nonths of the filing date | of a national | |

| | | | 37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491 in an international application; or | |
|----|--|--|---|--|
| | | | 37 C.F.R. §1.97(b)(3), before the mailing date of a first Office action on the merits, and is accompanied by one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below; or | |
| | | | 37 C.F.R. §1.97(b)(4) before the mailing date of a first office action after the filing of an RCE under §1.114. | |
| 5. | | No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c), after the period specifie in paragraph 4 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action), and is accompanied by one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below. | | |
| 6. | it is being filed in compliance with 37 C.F.R. §1.97(c), a | | is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since sing filed in compliance with 37 C.F.R. §1.97(c), after the period specified in aph 4 above but before the mailing date of a final action or a notice of nce (where there has been no prior final action): | |
| | | | A check in the amount of \$180.00 is enclosed in payment of the fee. | |
| | | | Charge the fee to Deposit Account No. <u>13-4500</u> , Order No A DUPLICATE COPY OF THIS SHEET IS ATTACHED. | |
| 7. | | it is be action | is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since sing filed in compliance with 37 C.F.R. §1.97(d), after the mailing date of a fina or a notice of allowance, whichever comes first, but before payment of the issued is accompanied by: | |
| | | | ne of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 elow; and | |
| | | | e fee due under 37 C.F.R. §1.17(p) which is paid as set forth in paragraph 11 elow. | |
| 8. | | A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with: | | |
| | | a. 🗌 | 37 C.F.R. §1.313(b)(3) or §1.313(c)(1), after the issue fee has been paid and information cited in this Information Disclosure Statement may render at least one claim unpatentable and is accompanied by the attached Petition To Withdraw Application From Issue and fee pursuant to 37 C.F.R. §1.17(h); | |
| | | b | 37 C.F.R. §1.313(c)(2) or §1.313(c)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement is to be considered in a Request for Continued Examination (RCE) or a Continuation application upon abandonment of the instant application and is accompanied by the attached Petition To Withdraw Application From Issue and fee pursuant to 37 | |

C.F.R. §1.17(h).

| | c. The fees due under 37 C.F.R. §§1.17(h) and 1.17(p) are paid as set forth in paragraph 10 below. | | |
|------|---|--|--|
| 9. 🛛 | I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. | | |
| | I hereby certify that no item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. | | |
| 10. | This document is accompanied by ⊠ a Search Report ⊠ Communication which was cited in a corresponding ☐ PCT or ☒ Foreign counterpart aprilication | | |
| 11. | A check in the amount of <u>\$</u> is enclosed in payment of the fee due under 37 C.F.R. § 1.17(p). | | |
| | Charge the \$ _ fee due under 37 C.F.R. § 1.17(p) to Deposit Account No. <u>13-4500</u> , Order No A DUPLICATE COPY OF THIS SHEET IS ATTACHED. | | |
| | The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. <u>13-4500</u> , Order No. <u>5000-4841</u> . A DUPLICATE COPY OF THIS SHEET IS ATTACHED. | | |

Respectfully submitted,

Dated: July 25, 2002

Steven F. Meyer

Registration No. 35,613

Mailing Address:

MORGAN & FINNEGAN, L.L.P. 345 Park Avenue New York, New York 10154 (212) 758-4800 Telephone (212) 751-6849 Telecopier